

# Illuminating a more effective way to optimize PV systems

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# Agenda



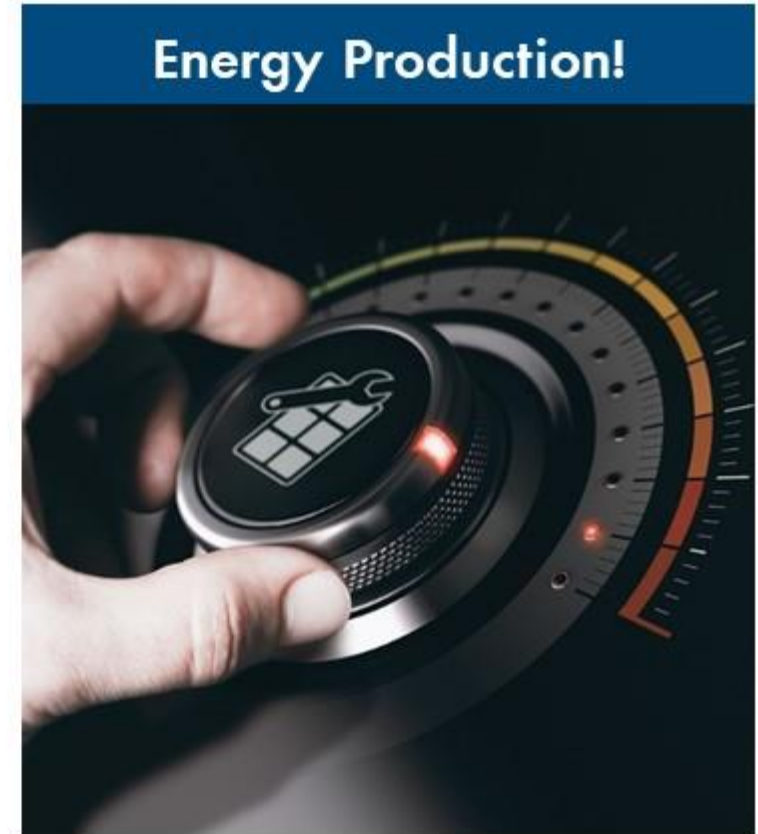
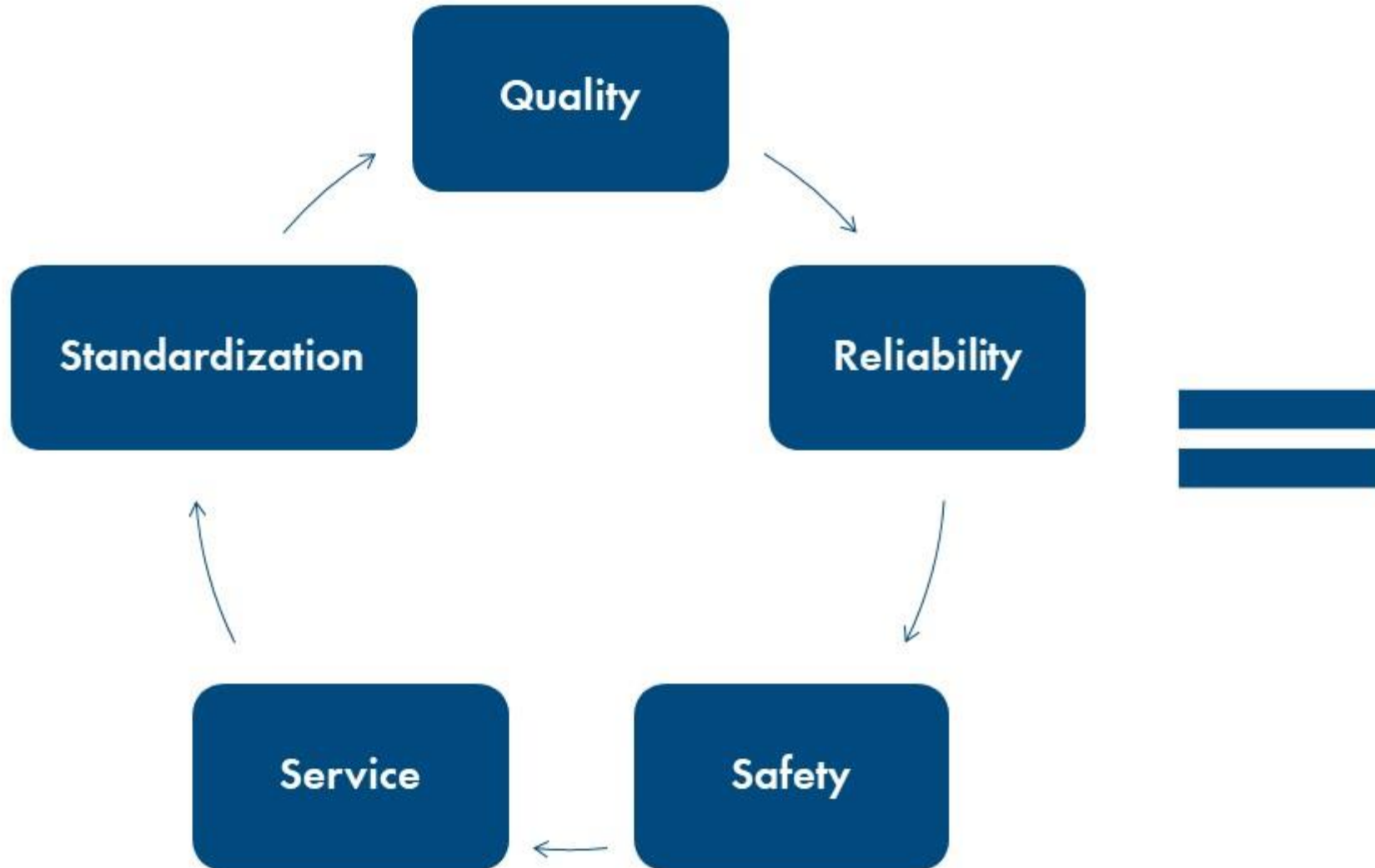
- 1 Understanding optimization factors
- 2 ShadeFix optimization and its role in a PV system
- 3 How service impacts lifetime system optimization
- 4 The role of SunSpec rapid shutdown standardization
- 5 Conclusions
- 6 Q&A session

# Understanding optimization factors



Brad Dore

A spectrum of variables affect system optimization



**SMA ShadeFix**  
STRING LEVEL OPTIMIZATION

## Poll question #1



Which of these variables is the most important to your business operations?

- 1 Quality
- 2 Reliability
- 3 Safety
- 4 Service
- 5 Standardization

# Traditional methods of optimization don't minimize business risk



- Traditional optimization methods focus on module-level technology
- Gained traction as a means of code compliance, without consideration of the affect on overall system optimization
- Drawbacks of this approach include:
  - High component count
  - Constantly working - boosting and bucking voltage and current
  - Greater heat production and thermal cycling - applying wear and tear on electronic components
  - All resulting in increased energy loss and greater service risk



Does this look like a welcoming service environment?

# How can these variables be optimized?



## Energy Production



- Maximize a PV system's production in the most efficient, reliable method even in shaded conditions
- Recognize the importance of annual energy production

## Quality & Lifetime Reliability



- Reduce the number of components
- Optimize where sensitive electronics are exposed to the least amount of heat and thermal cycling

## Compliance, Safety & Service



- Achieve speed through simplification and standardization
- Reduce costly truck rolls and minimize risk of roof visits
- Speed diagnosis and resolution



# ShadeFix optimization and its role in a PV system

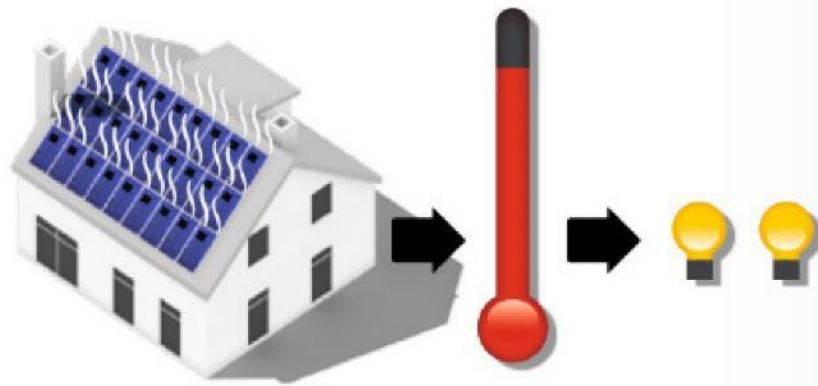


Blair Reynolds

# What is SMA ShadeFix optimization?

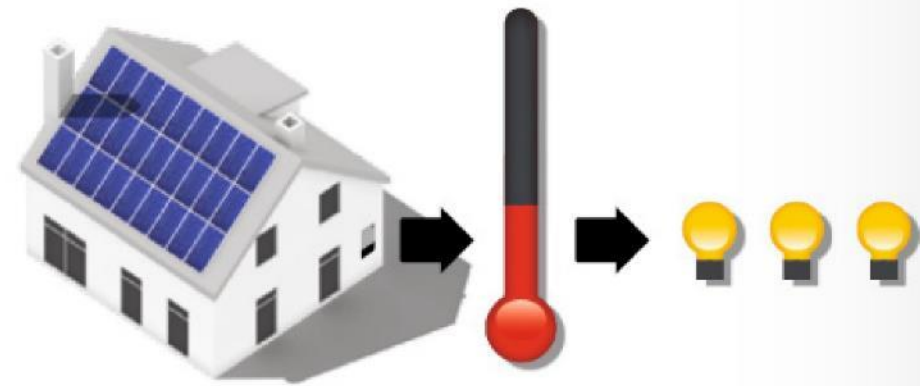


Conventional  
module optimizers



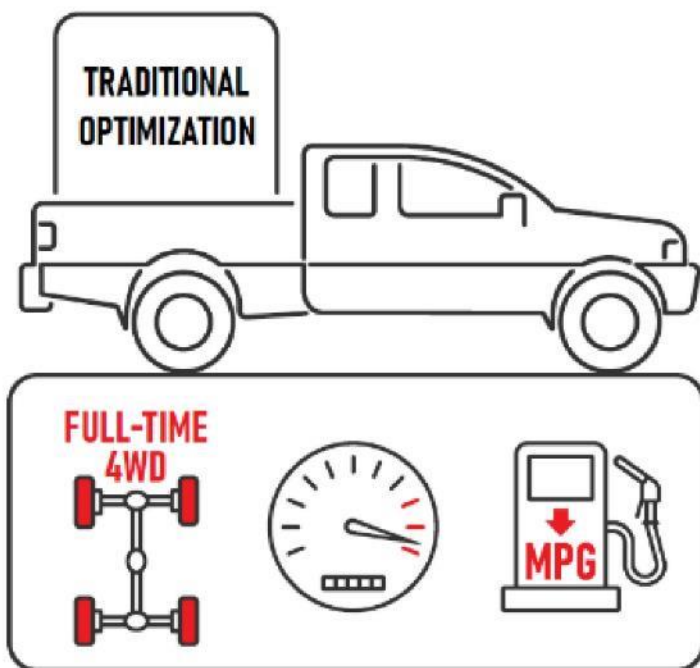
- Have more components and produce more heat due to constant operation
- This means more points to service in the harsh rooftop environment and higher likelihood of failure
- Excessive power consumption reduces energy production

SMA  
ShadeFix

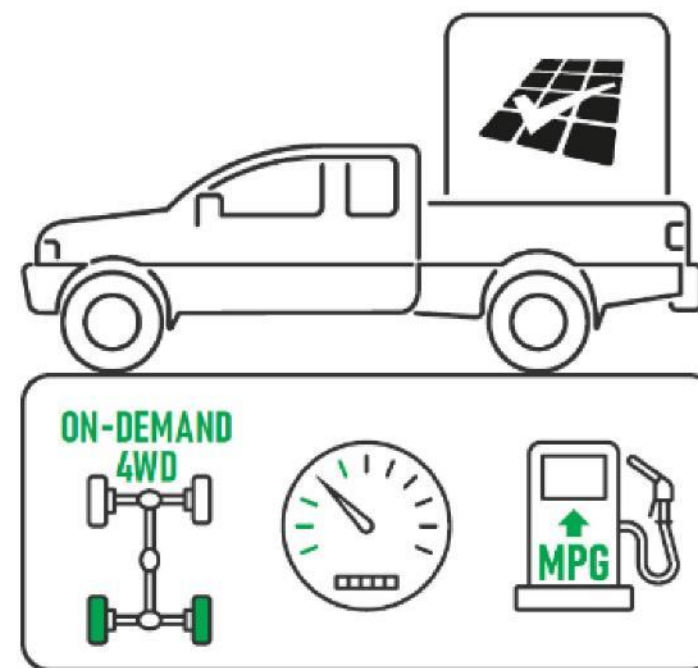


- ShadeFix optimization operates more efficiently and creates greater energy production
- Very few components are needed on the roof to achieve greater system reliability and NEC 2017 compliance

# ShadeFix Produces **More Energy Annually** than Traditional Optimizers



- Working constantly when it's not needed
- Consumes power unnecessarily
- Places additional stress on components
- Increases likelihood of component failure
- Greater service risk and production loss



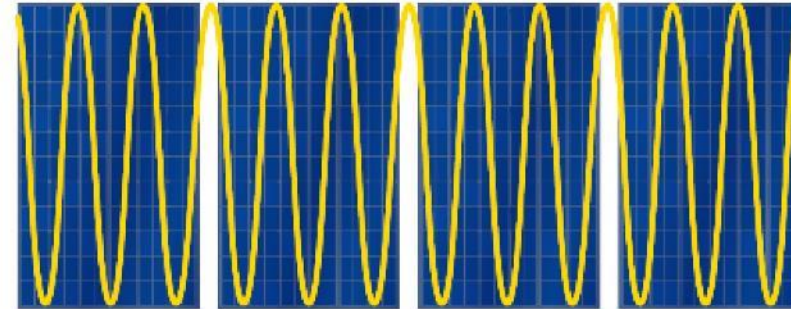
- Working smarter, not harder
- Doesn't consume unnecessary power
- Less stress on components
- Decreases likelihood of component failure
- Reduced service risk with secure energy production

# SMA ShadeFix – How does it work?



## Normal weather shading

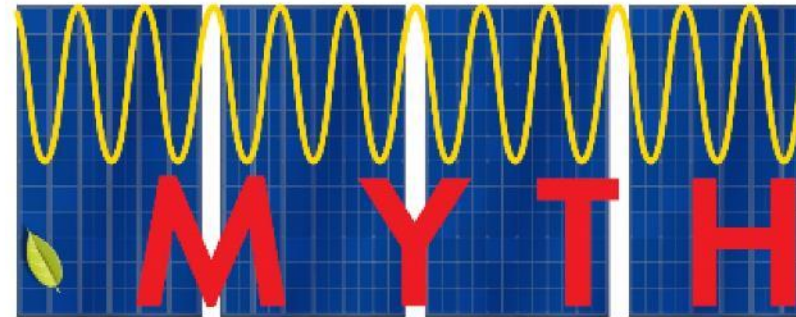
- Electrons pass freely through the array
- Modules at full power



## Partially shaded array

(The string inverter myth)

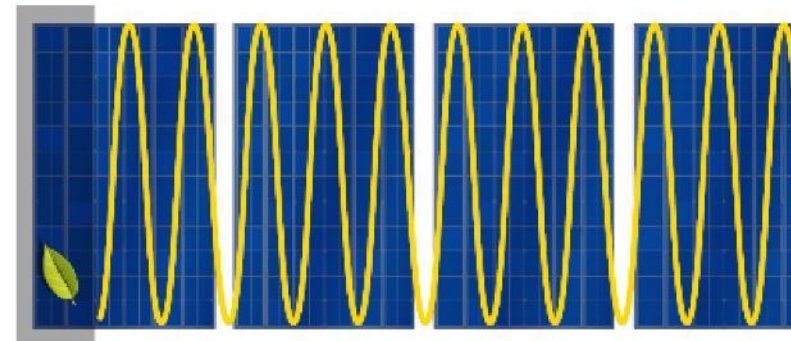
- All modules are limited to the current of the shaded module



## Partially shaded array

(The facts about SMA ShadeFix optimization)

- ShadeFix acts as a traffic cop, optimizing the flow of electrons around the shaded portions of the module
- More energy is generated from the unshaded modules thanks to SMA ShadeFix optimization



# University of Southern Denmark research findings



- Some previous studies inaccurately modeled shading scenarios, resulting in overstated performance gains for traditional optimizers
- The purpose of this university study was to examine the role of shading in a PV system using multiple optimization technologies where equal, real-world shading conditions were measured across each system
- The duration of the test was a full calendar year in order to account for seasonal variability
- The study used commonly accepted methodology, testing methods and sample size – in-line with other PV industry standards
- Comparisons between three systems were made with congruent, continuous periods of time in order to make valid conclusions regarding energy production

**Assoc. Prof. Dr. W.-Toke Franke:**

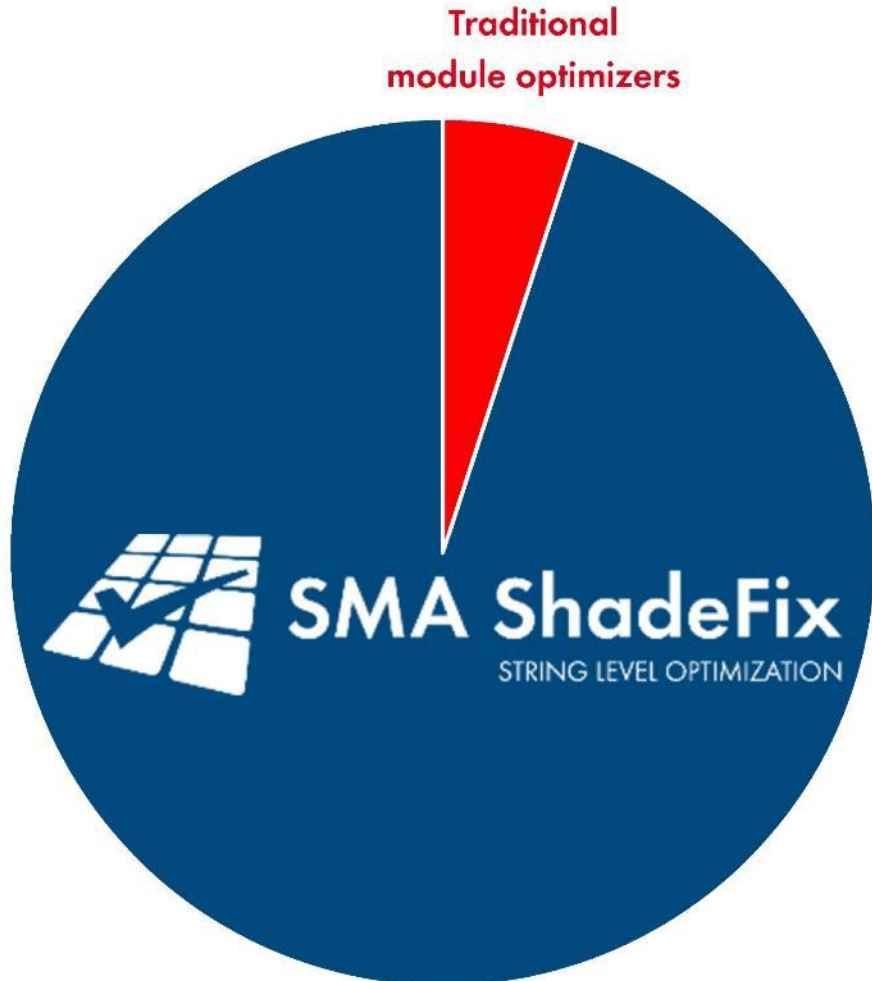
*The Impact of Optimizers for PV Modules, a comparative study*



UNIVERSITY OF SOUTHERN DENMARK

<https://portal.findresearcher.sdu.dk/da/publications/the-impact-of-optimizers-for-pv-modules>

SMA ShadeFix optimization **outperforms traditional optimizers**  
in approximately 95% of PV systems<sup>1</sup>



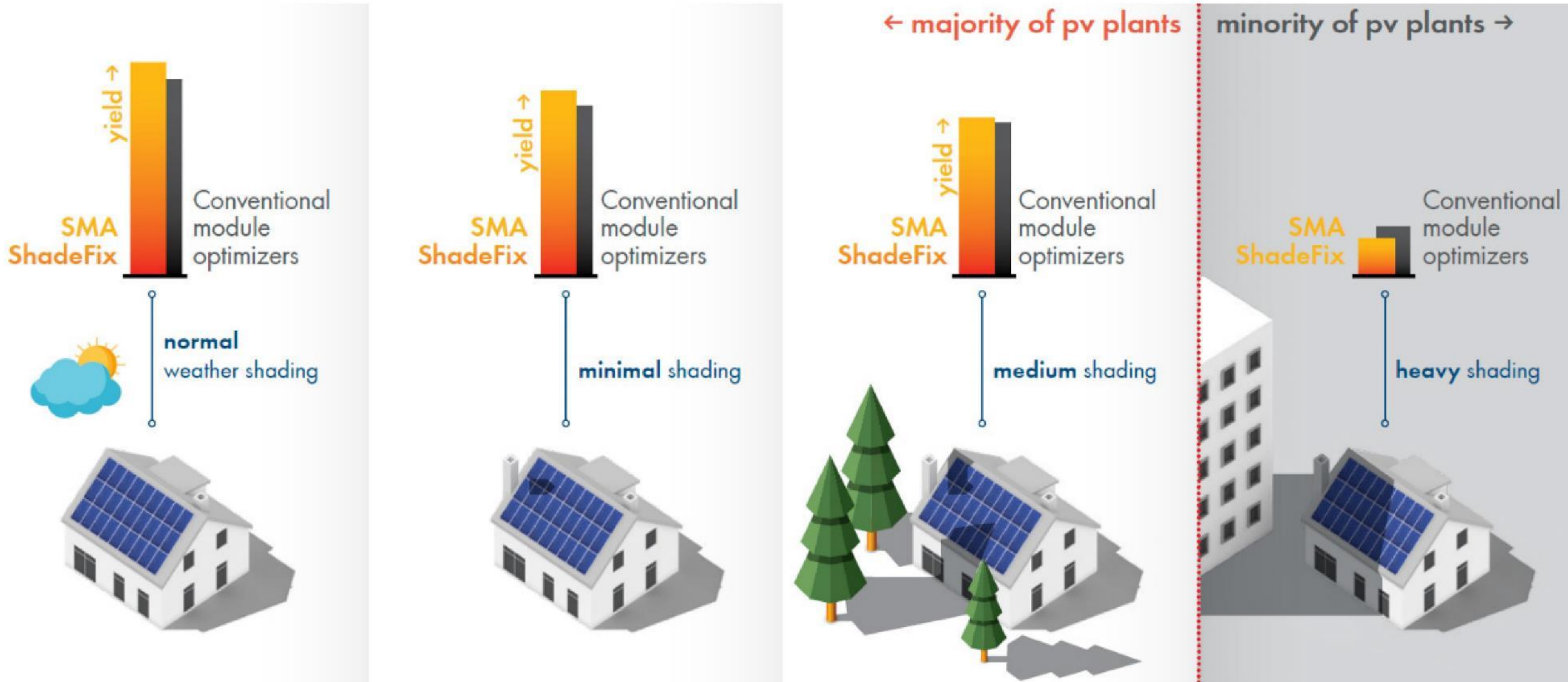
“The common marketing claims of additional energy production by applying optimizers could **not be confirmed** by this experiment. In fact, there are only **very few scenarios where the use of optimizers improves the system performance.**”



<https://portal.tindresearcher.sdu.dk/da/publications/the-impact-of-optimizers-for-pv-modules>

<sup>1</sup>Internal production simulation over entire operating time for 150 different plant scenarios

The majority of PV plants are built in unshaded or minimally shaded areas, where SMA ShadeFix generates greater energy production!



# How service impacts lifetime system optimization



Brad Dore

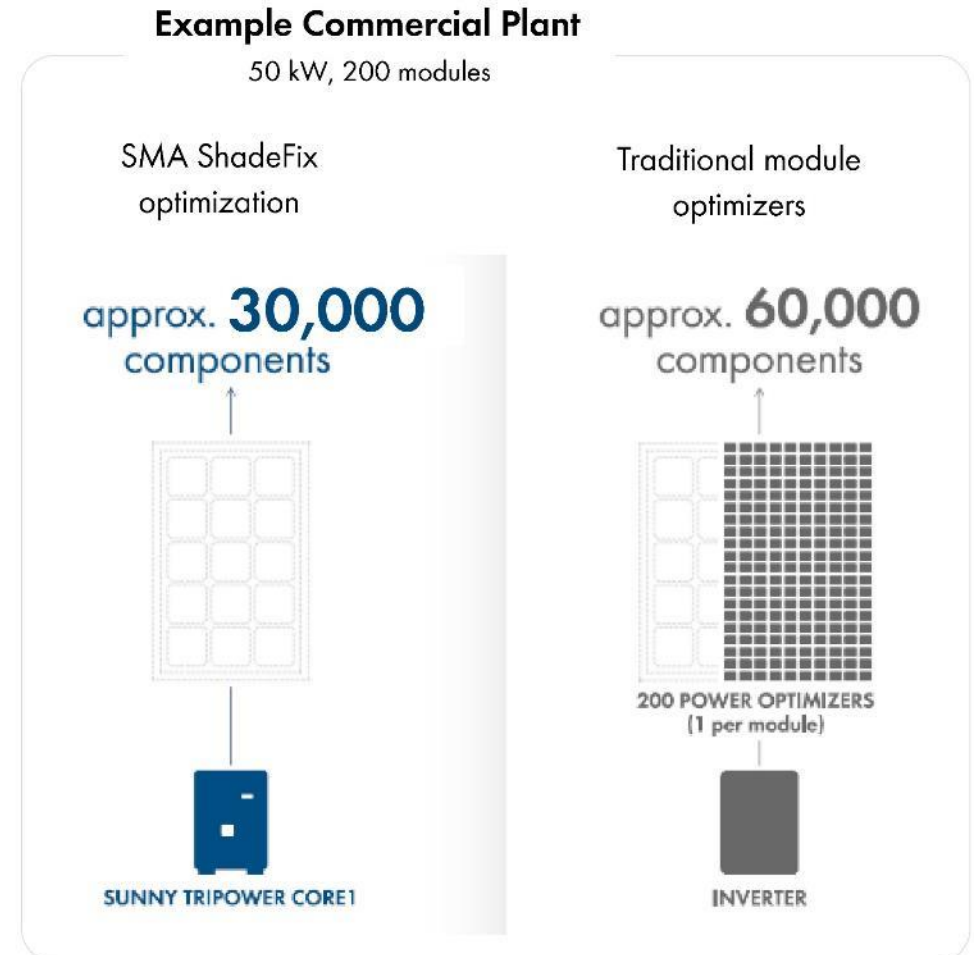


# SMA ShadeFix reduces the reliability risk inherent in traditional optimizers



- SMA avoids the use of unnecessary electronic components that are installed on the roof
- Less heat stressing those components
- All energy generation and optimizing technologies are integrated directly into the inverters
- Reducing the number of components keeps potential sources of error to a minimum, ensuring the maximum lifetime of the PV system

**This strategy has been proven by nearly 40 years of experience and 85+ GW installed worldwide**



## What's the impact of inferior reliability?



### One component failure =

- » Roof access
- » Removal of connections
- » Removal of fixtures
- » Removal of PV modules
- » Reinstallation of connections, fixtures, and modules

- NEC 2020 updates could further exacerbate the complications of frequent roof visits

## Poll question #2



For a typical residential installation, how much money do you put aside for future service and O&M?

- 1 \$0
- 2 \$500 or less
- 3 \$500 - \$1,000
- 4 More than \$1,000
- 5 I don't know

# Exponential risk of lost energy and extended system payback



## One component failure =

- » Roof access
- » Removal of connections
- » Removal of fixtures
- » Removal of PV modules
- » Reinstallation of connections, fixtures, and modules

Year 1 = \$

Year 3 = \$\$

Year 10 = \$\$\$\$

# Reducing service risk to maximize lifetime system optimization



## SMA Smart Connected



- Proactive service technology automatically informs of any system event
- Provides diagnosis and repair guidance
- Reduce truck rolls up to 50%

## SMA Service App



- Offers expedited field service and event resolution
- Access technical documentation
- Spend less time servicing and more time on revenue-generating activities

## Online Service Center



- Spend less time servicing and more time on revenue generating activities
- Expedited resolution with self-solve documentation, FAQs and information on software updates

# The role of SunSpec rapid shutdown standardization

Tom Tansy



# SunSpec Alliance Today



Global alliance of 115+ of Distributed Energy Resource (DER) industry participants

Developing open information standards to drive down costs and increase innovation

Active programs for system- & device-level communication, financial & operational data exchange, and cybersecurity

# Driving Adoption Through Standards & Grid Codes

- SunSpec Modbus



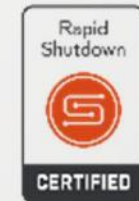
- IEEE 2030.5/CSIP



- Orange Button



- SunSpec Rapid Shutdown





# SunSpec Rapid Shutdown Initiative

## MEETING A MARKET MANDATE FOR SOLAR SAFETY

PV module-level power control and safety (“rapid shutdown”) is required in 34 states as of January 2020



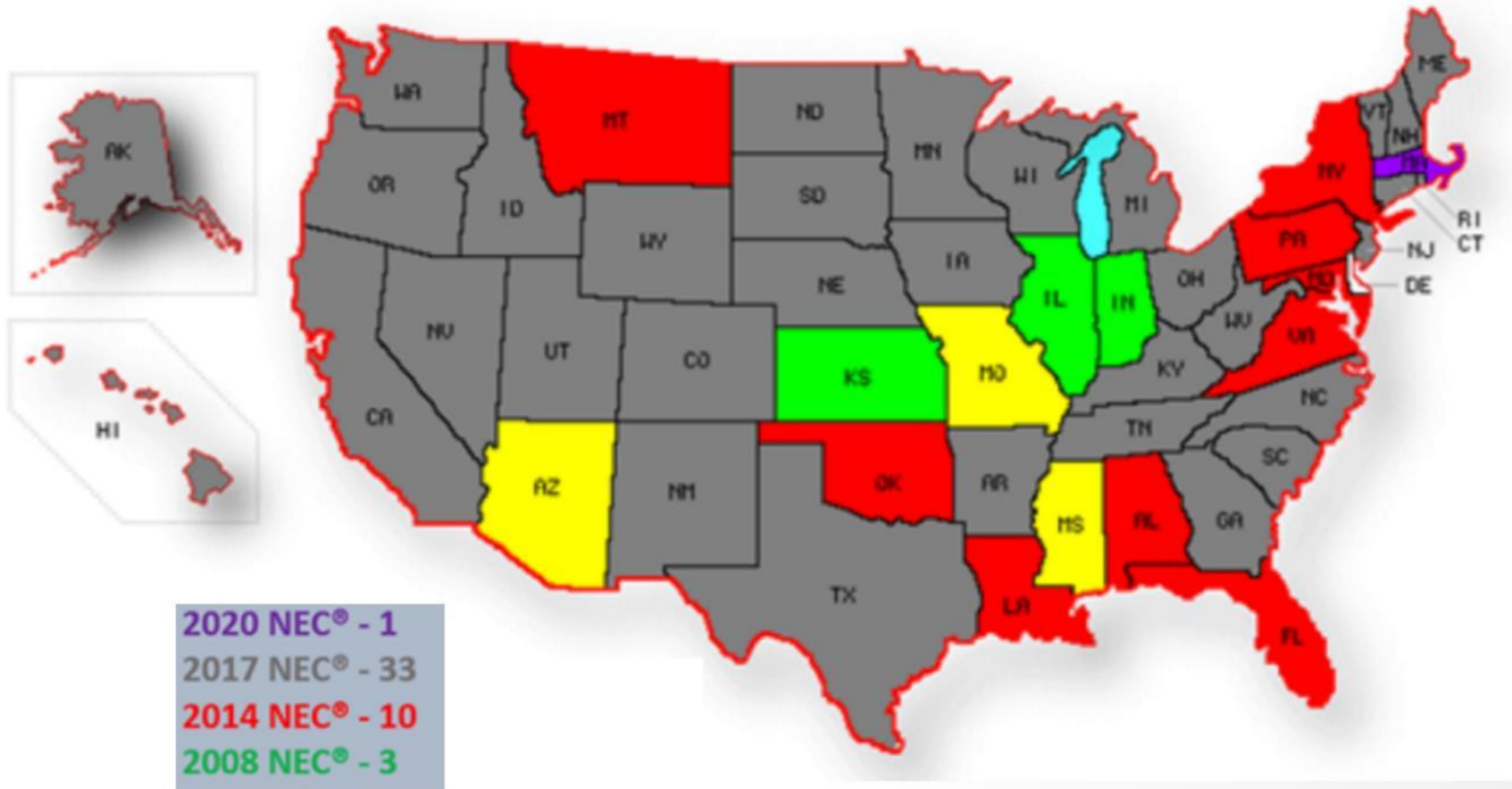
SunSpec Alliance global leaders have developed an open standard rapid shutdown communication solution



SunSpec has launched a Rapid Shutdown Certification Program

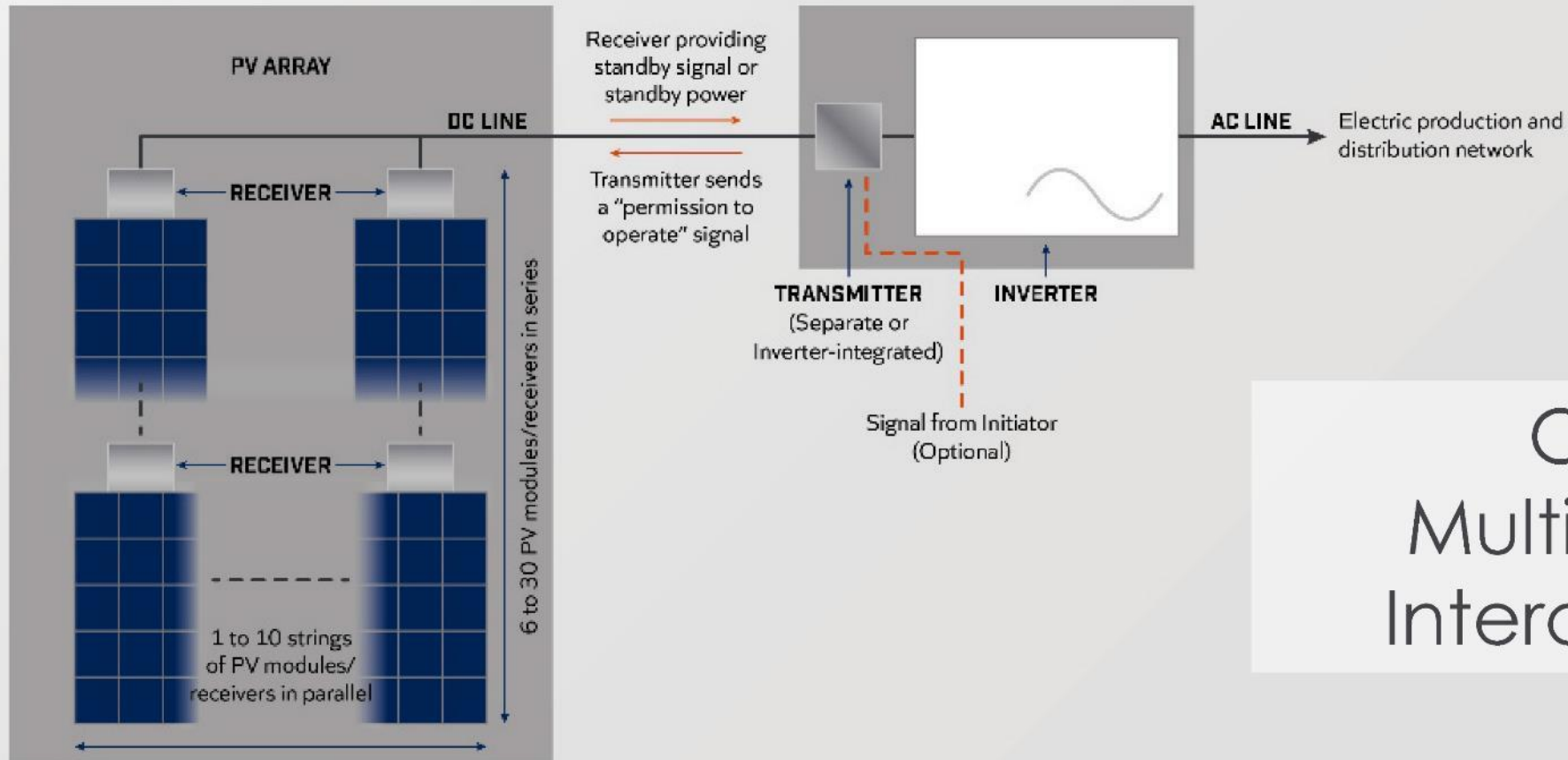


# NEC 2017 Rapid Shutdown Mandated in 34 States



# SunSpec Rapid Shutdown: How It Works

## Integrated Component View



Open  
Multi-vendor  
Interoperable

# SunSpec Certified Products

- SunSpec Rapid Shutdown
  - 10 product lines from 7 vendors
- IEEE 2030.5/CSIP
  - 22 product lines from 19 vendors
- SunSpec Modbus
  - 53 product lines from 37 vendors



- More consumer choice
- Lower system support expense
- Higher stakeholder satisfaction

# SunSpec Rapid Shutdown Ecosystem



# What People Are Saying



“The PowerStore is pleased to support the SunSpec Alliance with industry standardized products for solar and storage professionals. We are a national distributor that offers manufacturer agnostic solutions and competitive pricing for many different NEC 2017 compliant and SunSpec compliant products and solutions. Our knowledgeable staff are NEC and NABCEP trained with the ability to offer design assistance to installers across the U.S.”

*-Stewart White, Vice President, The PowerStore*

# What People Are Saying



“Working with leading suppliers of rooftop solar systems for many years, we at Texas Instruments recognize the importance of an easy-to-implement and safe solution for rapid shutdown. We will continue to help SunSpec Alliance establish an open standard so solar companies can deploy safer and more effective designs.”

*-Jose Sacripanti, Analog Marketing Manager, Texas Instruments*

# What People Are Saying



“We applaud SunSpec’s success in this space. UL proudly works with SunSpec as an authorized test lab to test products to the SunSpec Rapid Shutdown Communication Standard. The combination of the SunSpec communication signal with the safety requirements of UL 1741 for PVRSS and PVRSE results in a powerful combination for implementation of PV systems.”

*-Scott Picco, Business Development Manager, UL LLC*





## Keyword Search

sma solar

Search

Clear filters

## Product Type

- Inverter
- Meter
- Data Logger
- Controller
- String Monitor
- Application
- AC Meter
- Weather Station

## Certificate Type

- SunSpec Rapid Shutdown
- 2030.5 CSIP
- SunSpec Modbus

Search

Clear filters

## Download Results

## 8 Products

« &lt; 1 2 &gt; »

ENERGY  
THAT  
CHANGES

**Certification Number:** 024-004  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**ProductType:** Inverter  
**CertificateType:** SunSpec Modbus  
**Products:** SBx.x-1xP-US-41  
**Download:** [PICS1 Certificate1](#)

ENERGY  
THAT  
CHANGES

**Certification Number:** CS-000019  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**DeviceProfile:** Aggregator  
**CertificateType:** 2030.5 CSIP  
**Products:** SMA SPOT Aggregator Client  
**Download:** [PICS1 Certificate1](#)

ENERGY  
THAT  
CHANGES

**Certification Number:** RS-000002  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**DeviceProfile:** Transmitter  
**CertificateType:** SunSpec Rapid Shutdown  
**Products:** Sunny Boy US  
**Download:** [PICS1 Certificate1](#)

ENERGY  
THAT  
CHANGES

**Certification Number:** RS-000003  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**DeviceProfile:** Transmitter  
**CertificateType:** SunSpec Rapid Shutdown  
**Products:** Sunny Tripower CORE1  
**Download:** [PICS1 Certificate1](#)

ENERGY  
THAT  
CHANGES

**Certification Number:** 23026  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**ProductType:** Inverter  
**CertificateType:** SunSpec Modbus  
**Products:** STP 12000TL-US-10, STP  
 15000TL-US-10, STP 20000TL-US-10, STP  
 24000TL-US-10, STP 30000TL-US-10  
**ModBusModels:** 1, 11, 12, 103, 120, 121, 122,  
 127, 160

ENERGY  
THAT  
CHANGES

**Certification Number:** 024-002  
**Manufacturer Name:** SMA Solar Technology  
 AG  
**ProductType:** Inverter  
**CertificateType:** SunSpec Modbus  
**Products:** SBx.x-1SP-US-40  
**ModBusModels:** 1, 11, 12, 102, 120, 160  
**Download:** [PICS1 Certificate1](#)

# SunSpec Rapid Shutdown

Paving the way for a new distributed energy paradigm that balances sustainability, public safety, and greater customer choice.

LEARN MORE



[tom@sunspec.org](mailto:tom@sunspec.org)

# Conclusions



Brad Dore

# Maximum Value in Residential PV with SMA and the SunSpec Alliance



## The key to increased performance and reliability

- Minimal electronics placed under module (50% less than traditional optimizers), only what is necessary to fulfill NEC 2017 compliance
- Simple stay alive signal transmitted from the inverter via power line communication to SunSpec certified shutdown devices
- Loss of AC power automatically triggers rapid shutdown

## Unique benefits of the SMA solution

- Greater reliability and reduced service risk
- No additional communication components necessary
- No other optimized solution generates more power or is as easy as systems featuring SMA ShadeFix and SunSpec certified devices



**SMA ShadeFix**  
STRING LEVEL OPTIMIZATION



# SMA ShadeFix

STRING LEVEL OPTIMIZATION

SMA ShadeFix optimizes PV performance while maximizing safety and lifetime reliability of a PV system. It reduces cost and complexity of a PV system as it is automatically integrated into SMA Sunny Boy-US and Sunny Tripower CORE1-US inverters.

- ✓ Increased Performance & Energy Production
- ✓ Improved Quality & Lifetime Reliability
- ✓ Simple Compliance, Safety & Service

**= True system optimization!**

# How can I learn more about system optimization?



## Download our white paper

- [www.SMA-America.com/ShadeFix](http://www.SMA-America.com/ShadeFix)

## Additional resources

- Solar Academy technical trainings – [www.SolarAcademy.SMA.de/en](http://www.SolarAcademy.SMA.de/en)
- PowerUP partner program – [www.sma-america.com/powerup/installer](http://www.sma-america.com/powerup/installer)
- SMA America Blog – [www.SMAInverted.com](http://www.SMAInverted.com)
- SunSpec Alliance - <https://rapidshutdown.sunspec.org/>

**WHITEPAPER**

**SHADEFIX**

A superior model for power optimization

**SMA ShadeFix**  
STRING LEVEL OPTIMIZATION

**Executive Summary**  
This report provides a review of the results of a study conducted by the University of Southern Denmark that compares SMA's advanced PV optimization technology relative to other forms of optimization under a variety of unshaded and shaded conditions. Its key findings provide conclusions regarding power output, lifetime energy harvest, reliability and maintenance, and fire and installer safety. The paper also notes key differences between how safety and shutdowns are being prioritized and addressed in North America and Europe, and examines the variants of SMA solutions in both regions.

**The State of Power Optimization**  
For most PV system owners, solar power represents a significant investment with expectations of predictable financial returns. These returns are dependent on key factors, including power output (performance) and output over time (lifetime energy harvest). For more than 30 years, solar professionals have been focused on these two criteria in order to provide customers with superior PV solutions.

While most PV systems are naturally designed to receive unobstructed and unshaded light, shading occurs in some situations. Considerable time, effort, and expense have gone into mitigating the undesirable effects of shading on PV systems. Although no solution can change

shade into light, there are methods for maximizing the power of unshaded PV modules and reducing the negative effects of shade on an array.

Shade mitigation strategies vary across the globe and can depend on various factors. The most commonly used approach in the U.S. residential PV market involves trying to optimize power production of each PV module using a complex assemblage of components. While this model showed advantages versus antiquated string technology, a modern type of optimization has now been shown to improve energy harvest while drastically reducing the number of components and the complexity in a system. Subsequent statistical analysis thereby increase system reliability and lifetime energy harvest.

**Current Assumptions**  
One perception is that placing small electronic devices under each PV module in a system optimizes power production. These components are commonly known as DC optimizers. They may also be referred to as module-level power electronics (MLPE). They work by controlling or manipulating power – increasing and decreasing voltage and current – for each PV module. This may improve energy harvest, particularly under certain conditions. However, when PV modules are heavily shaded, however, it comes at a cost. This solution requires complex componentry and constant operation, and it is conducted in an inhospitable environment for installation, operation and servicing of electronics.

Thank You!  
Questions?



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